

# CIVIL-408

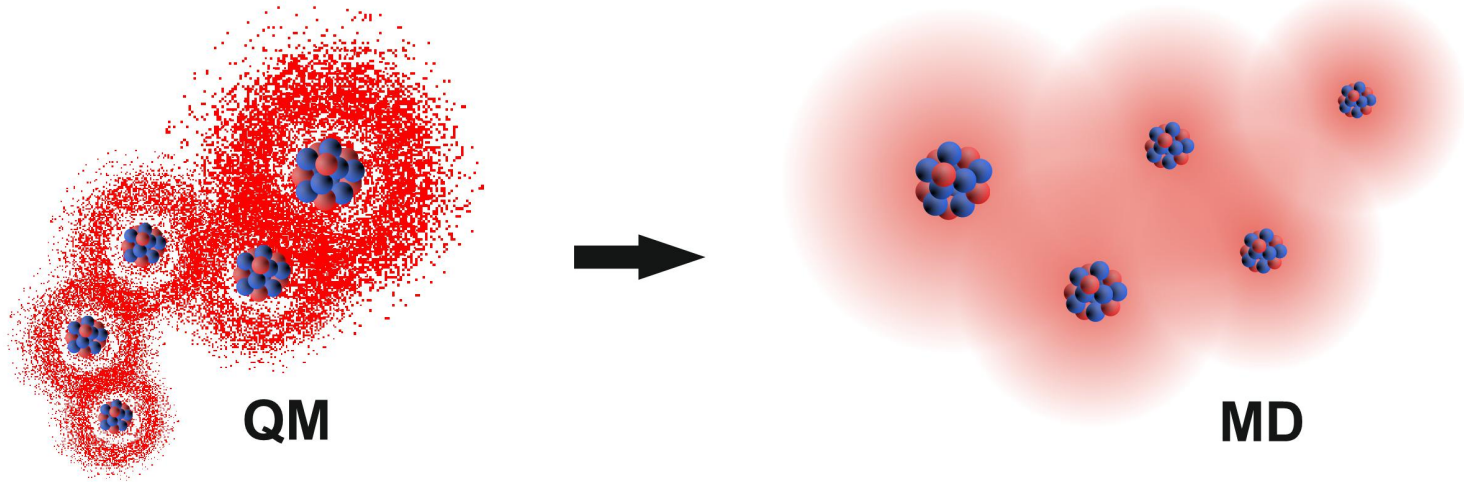
## Multiscale Modeling in Mechanics

Prof. Kostas Karapiperis

### Week 8

# EPFL From quantum mechanics to atomistics

**Quantum mechanics** resolves the dynamics of all fundamental particles including electrons surrounding the nuclei. Atomistics take a coarse grained view of the problem, where the electron interactions are condensed out.



Atomic positions:

$$\mathbf{q}(t) = \{\mathbf{q}_1(t), \dots, \mathbf{q}_N(t)\}$$

Atomic momenta:

$$\mathbf{p}(t) = \{\mathbf{p}_1(t), \dots, \mathbf{p}_N(t)\}$$

Total **Hamiltonian** of the system:

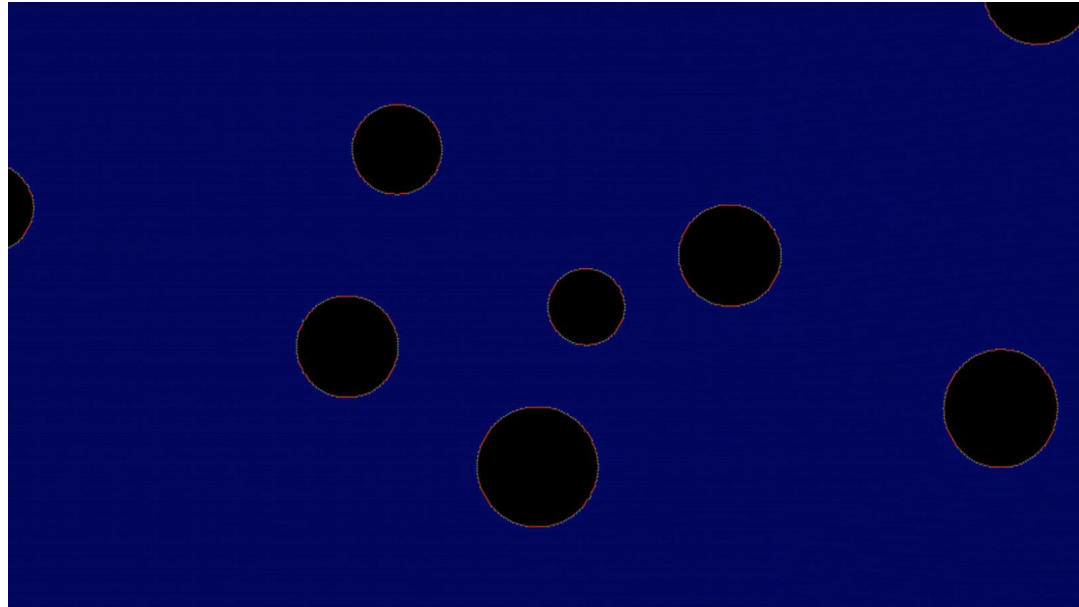
$$\mathcal{H}(\mathbf{q}, \mathbf{p}) = \sum_{i=1}^N \frac{|\mathbf{p}_i|^2}{2m_i} + V(\mathbf{q})$$

Hamiltonian equations:

$$\dot{\mathbf{q}} = \frac{\partial \mathcal{H}}{\partial \mathbf{p}}, \quad \dot{\mathbf{p}} = -\frac{\partial \mathcal{H}}{\partial \mathbf{q}}$$

Equations of motion:

$$m_i \ddot{\mathbf{q}}_i = \mathbf{f}_i(\mathbf{q}) = -\frac{\partial V}{\partial \mathbf{q}_i}(\mathbf{q})$$



Equations of motion (dynamics):

$$m_i \ddot{\mathbf{q}}_i = \mathbf{f}_i(\mathbf{q}) = -\frac{\partial V}{\partial \mathbf{q}_i}(\mathbf{q})$$

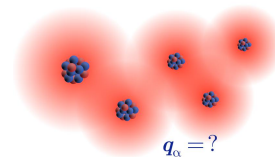
For **molecular statics** (zero temperature), the equations reduce to:

$$\mathbf{f}_i(\mathbf{q}) = -\frac{\partial V}{\partial \mathbf{q}_i}(\mathbf{q}) = 0$$

Which amounts to **minimizing the potential energy** of the system

Interatomic potentials and their approximations:

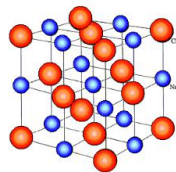
$$V(\mathbf{q}) = \sum_{i=1}^N V_1(\mathbf{q}_i) + \frac{1}{2} \sum_{i \neq j} V_2(\mathbf{q}_i, \mathbf{q}_j) + \frac{1}{3!} \sum_{i \neq j, i \neq k} V_3(\mathbf{q}_i, \mathbf{q}_j, \mathbf{q}_k) + \dots$$



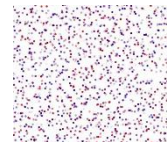
Pair (two-body) potentials:

$$V(\mathbf{q}) = \sum_{i \neq j} f(\mathbf{q}_i, \mathbf{q}_j) = \sum_{i \neq j} f(r_{ij}) \quad \text{with} \quad r_{ij} = \|\mathbf{q}_i - \mathbf{q}_j\|$$

- **Coulomb** (ionic):  $V(\mathbf{q}) = \frac{1}{4\pi\epsilon_0} \sum_{i \neq j} \frac{Q_i Q_j}{r_{ij}}$



- **Lennard-Jones** (ideal gases):  $V(r) = -\frac{A}{r^n} + \frac{B}{r^m}$

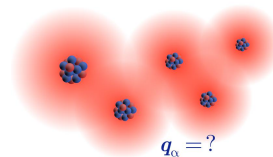


- **Morse** ("simple" metals):  $V(r) = D[1 - \exp(-a(r - r_0))]^2$



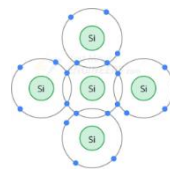
Interatomic potentials and their approximations:

$$V(\mathbf{q}) = \sum_{i=1}^N V_1(\mathbf{q}_i) + \frac{1}{2} \sum_{i \neq j} V_2(\mathbf{q}_i, \mathbf{q}_j) + \frac{1}{3!} \sum_{i \neq j, i \neq k} V_3(\mathbf{q}_i, \mathbf{q}_j, \mathbf{q}_k) + \dots$$

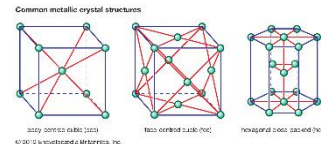


Three-body potentials:

- **Stillinger-Weber (silicon):**  $V(\mathbf{q}) = \sum_{i,j \in \mathcal{L}} V_2(r_{ij}) + \sum_{i,j,k \in \mathcal{L}} V_3(r_{ij}, r_{ik}, \theta_{ijk})$

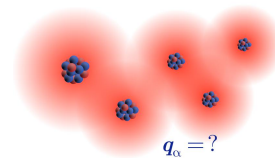


- **Embedded Atom Method (EAM) (metals):**  $V_i(\mathbf{q}) = \frac{1}{2} \sum_{j \in \mathcal{L}} \Phi(r_{ij}) + U(\rho_i)$   $\rho_i = \sum_{j \in \mathcal{L}} f(r_{ij})$



- **Force Field (organics):**  $V(\mathbf{q}) = \sum_{i,j \in \text{bonds}} k_{ij}^{\text{bond}} (r_{ij} - r_{\text{eq.}})^2 + \sum_{i \in \text{angles}} k_i^{\text{angle}} (\theta_i - \theta_{\text{eq.}})^2 + \sum_{i,j \in \text{bonds}} k_i^{\text{dihedral}} f(\phi_i, \theta_i)$   
 $+ \sum_{i,j \in \mathcal{L}} 4\epsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right] + \sum_{i,j \in \mathcal{L}} \frac{q_i q_j}{4\pi\epsilon_0 r_{ij}}$

All the above potentials are **anharmonic**.



One can always construct a **quasiharmonic** approximation, through a Taylor expansion:

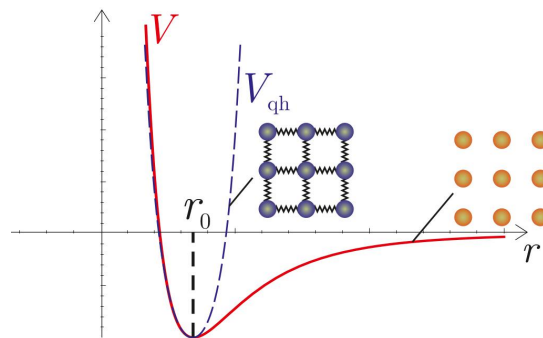
$$V(r) = V(r_0) + \left. \frac{\partial V}{\partial r} \right|_{r_0} (r - r_0) + \frac{1}{2} \left. \frac{\partial^2 V}{\partial r^2} \right|_{r_0} (r - r_0)^2 + \text{h.o.t}$$

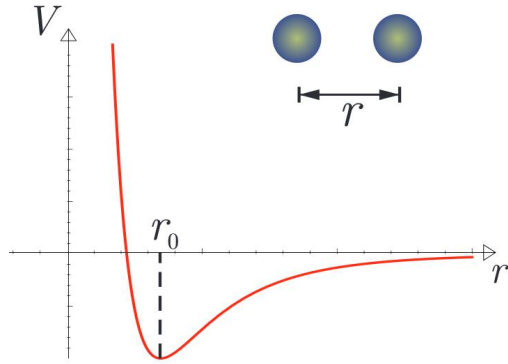
By definition the linear term vanishes at equilibrium:

$$V_{qh}(r) \approx V(r_0) + \frac{C}{2} (r - r_0)^2$$

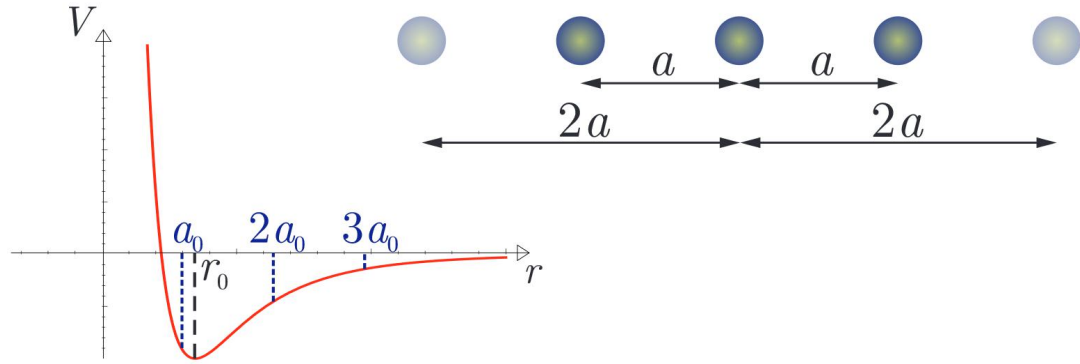
with a **force constant**:

$$C = \left. \frac{\partial^2 V}{\partial r^2} \right|_{r_0} > 0$$

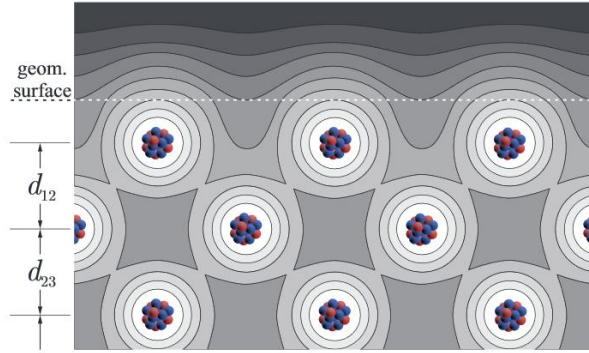




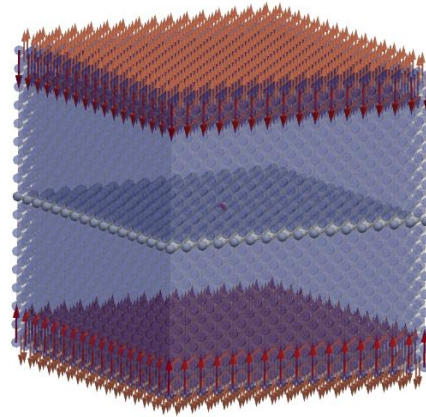
equilibrium spacing between two atoms  
= energy minimum



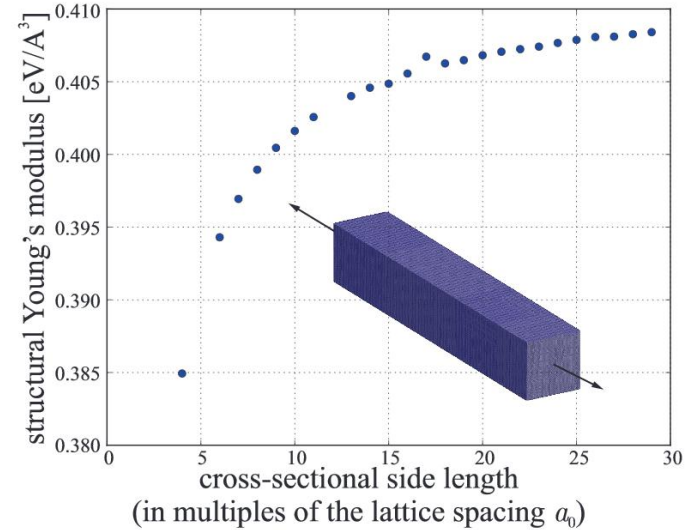
equilibrium spacing in an infinite crystal  
= energy minimum



schematic view of atoms near the (geometric) surface of a crystal

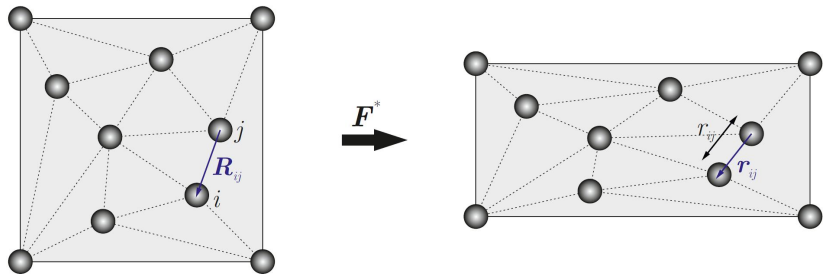


forces on atoms due to free surfaces (all atoms at equilibrium spacings)



Cauchy-Born rule applied to atoms:

$$\mathbf{q}_a = \mathbf{F}\mathbf{Q}_a, \quad a = 1, \dots, N$$



resulting energy, stresses, stiffness:

$$W(\mathbf{F}) = \frac{1}{|\Omega|} V(\{\mathbf{q}_1, \dots, \mathbf{q}_N\})$$

$$\mathbf{P}(\mathbf{F}) = -\frac{1}{|\Omega|} \sum_{a=1}^N \mathbf{f}_a(\mathbf{q}) \otimes \mathbf{Q}_a$$

$$\mathbb{C}_{ijkl} = -\frac{1}{|\Omega|} \sum_{a,b=1}^N \frac{\partial f_i^a}{\partial q_k^b}(\mathbf{q}) Q_J^a Q_L^b$$

$\frac{\partial}{\partial \mathbf{F}} = \sum_{\alpha} \frac{\partial}{\partial \mathbf{q}_{\alpha}} \cdot \frac{\partial \mathbf{q}_{\alpha}}{\partial \mathbf{F}}$   
 $\frac{\partial}{\partial \mathbf{F}} = \sum_{\alpha} \frac{\partial}{\partial \mathbf{q}_{\alpha}} \cdot \frac{\partial \mathbf{q}_{\alpha}}{\partial \mathbf{F}}$

e.g., EAM potential:

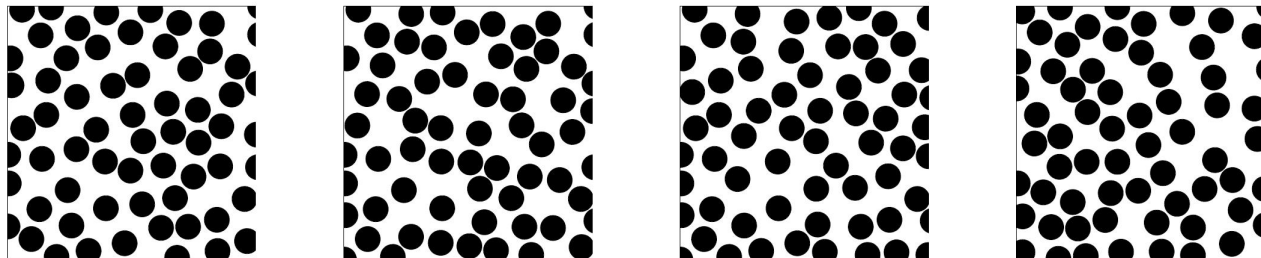
$$V_i(\mathbf{q}) = \frac{1}{2} \sum_{j \in \mathcal{L}} \Phi(r_{ij}) + U(\rho_i) \quad \rho_i = \sum_{j \in \mathcal{L}} f(r_{ij})$$

$$c_{ijkl} = \frac{1}{|\Omega|} \left\{ U''(\rho) \left[ \sum_{\alpha \in \mathcal{L}} f'(r^{\alpha}) \frac{r_i^{\alpha} r_j^{\alpha}}{r^{\alpha}} \right] \left[ \sum_{\beta \in \mathcal{L}} f'(r^{\beta}) \frac{r_k^{\beta} r_l^{\beta}}{r^{\beta}} \right] \right.$$

$$\left. + \sum_{\alpha \in \mathcal{L}} \left[ \left( \left( U'(\rho) f''(r^{\alpha}) + \frac{1}{2} \Phi''(r^{\alpha}) \right) - \frac{1}{r^{\alpha}} \left( U'(\rho) f'(r^{\alpha}) + \frac{1}{2} \Phi'(r^{\alpha}) \right) \right) \frac{r_i^{\alpha} r_j^{\alpha} r_k^{\alpha} r_l^{\alpha}}{(r^{\alpha})^2} \right] \right\}$$

Ensemble and realizations:

- Recall realizations containing the same volume fraction of particles:



**macrostate:** volume fraction  $v$  of the black phase

**microstates:** geometric realizations above

- When the locations  $\mathbf{q}$  of all particles are known, we can uniquely calculate the macrostate:  $v = v(\mathbf{q})$
- More generally, all realizations  $(\mathbf{p}, \mathbf{q})$  complying with the same macrostate  $\mathcal{A}$  form an **ensemble**.
- In atomistics, typical macrostates are **energy, temperature, pressure**.

**Macrostates** are best averaged over time to remove fluctuations:

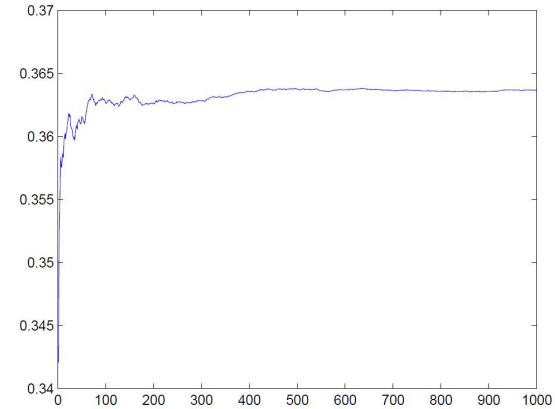
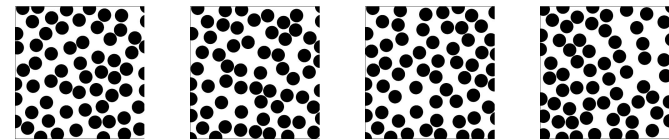
$$\mathcal{A}(t) = \frac{1}{\Delta t} \int_t^{t+\Delta t} A(\mathbf{q}(\tau), \mathbf{p}(\tau)) \, d\tau$$

**Equilibrium** implies

$$\bar{\mathcal{A}} = \lim_{\Delta t \rightarrow \infty} \frac{1}{\Delta t} \int_t^{t+\Delta t} A(\mathbf{q}(\tau), \mathbf{p}(\tau)) \, d\tau$$

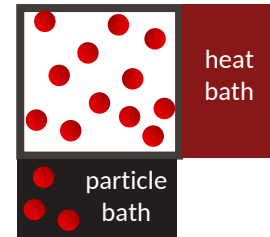
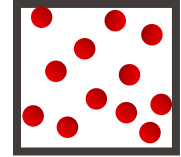
If we introduce an ensemble of fixed macrostate  $B$  (e.g., temperature), we may run many simulations with different ICs to find **all those realizations compliant with  $B$** , over which we average:

$$\frac{1}{n} \sum_{\alpha=1}^n \mathcal{A}_B(\mathbf{p}_\alpha, \mathbf{q}_\alpha)$$



■ CIVIL 408 For example, we may fix the temperature ( $B = \text{temperature}$ ) and evaluate the average energy of the system ( $A = \text{energy}$ ).

- The **microcanonical ensemble** (NVE-ensemble) describes an isolated system with constant energy  $E$ , constant particle number  $N$  and constant volume  $V$ , therefore we refer to this as the NVE-ensemble.
- The **canonical ensemble** (NVT-ensemble) describes a system in contact with a heat bath, i.e. in constant temperature, besides constant particle number  $N$  and constant volume  $V$ .
- The **grand canonical ensemble** ( $\mu$ V $T$ -ensemble) is an extension of the canonical ensemble and describes a system in contact with both heat and particle baths, i.e. constant temperature, volume and chemical potential  $\mu$ .



**Note:** There are *further ensembles* (e.g.,  $NpT$ )

# EPFL The statistical probabilist distribution

If we wait infinitely long, the system will have visited every accessible state (**ergodicity**).

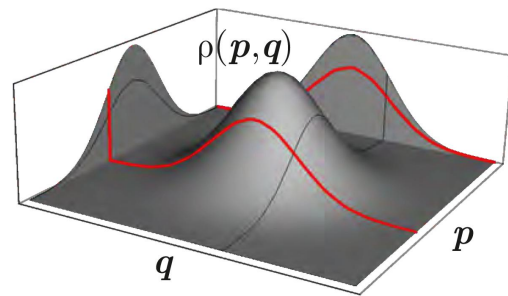
$$\langle \mathcal{A} \rangle \approx \frac{1}{n} \sum_{i=1}^n \mathcal{A}(\mathbf{q}_n, \mathbf{p}_n)$$

introduce a **probability distribution** (*probability density*):

$$\int_{\Gamma} \rho(\mathbf{q}, \mathbf{p}) \, d\mathbf{p} \, d\mathbf{q} = 1$$

such that

$$\langle \mathcal{A} \rangle = \int_{\Gamma} \mathcal{A}(\mathbf{q}, \mathbf{p}) \rho(\mathbf{q}, \mathbf{p}) \, d\mathbf{p} \, d\mathbf{q}$$



The probability distribution may depend on any *fixed macrostates*.  
It therefore varies between ensembles.

Atomic positions:

$$\mathbf{q}(t) = \{\mathbf{q}_1(t), \dots, \mathbf{q}_N(t)\}$$

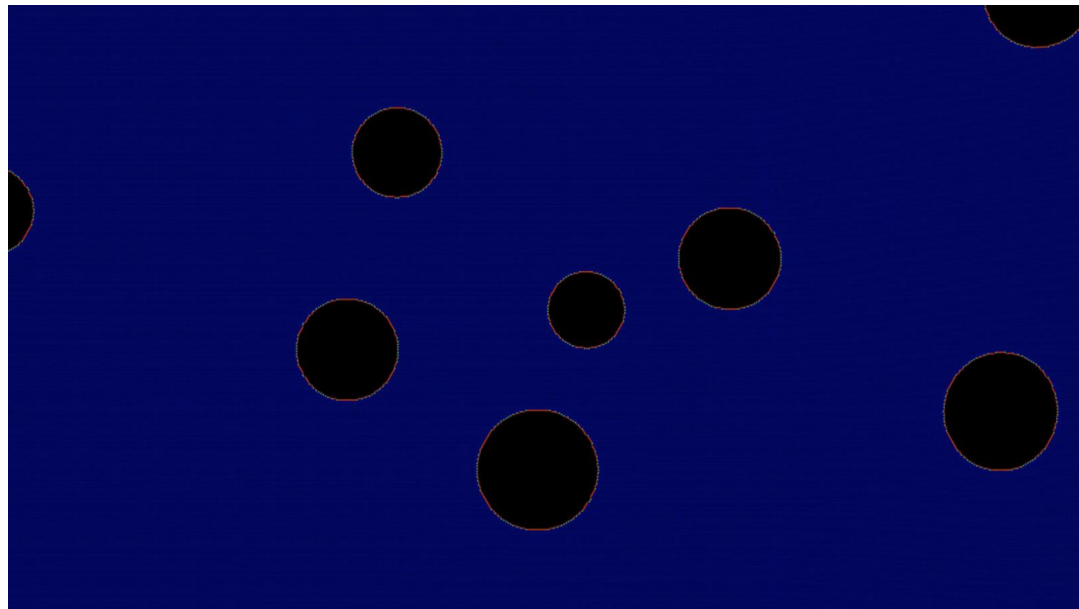
Total **Hamiltonian** of the system:

$$\mathcal{H}(\mathbf{q}, \mathbf{p}) = \sum_{i=1}^N \frac{|\mathbf{p}_i|^2}{2m_i} + V(\mathbf{q})$$

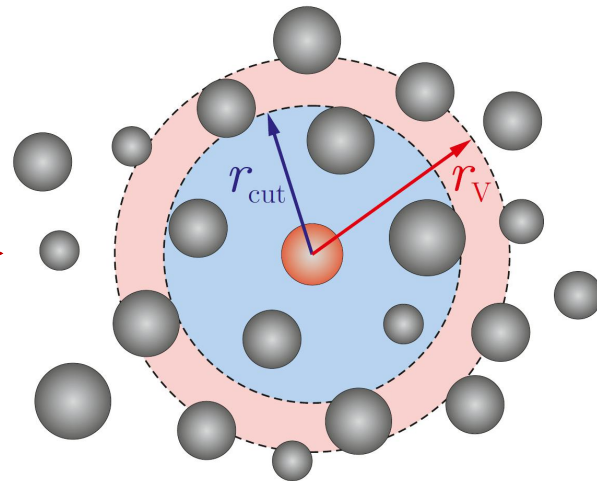
Equations of motion:

$$m_i \ddot{\mathbf{q}}_i = \mathbf{f}_i(\mathbf{q}) = -\frac{\partial V}{\partial \mathbf{q}_i}(\mathbf{q})$$

Solve numerically  $\mathbf{q}(t)$  using  
explicit time integration



- (i) start with  $t^0 = 0$  and known  $\mathbf{q}_i^0$  and  $\mathbf{v}_i^0 = \mathbf{p}_i^0/m$
- (ii)  $\mathbf{f}_i^0 = -\partial\mathcal{V}/\partial\mathbf{q}_i(\mathbf{q}^0)$
- (iii)  $\mathbf{a}_i^0 = \mathbf{f}_i^0/m_i$
- (iv) while  $t \leq t_{\text{end}}$ :
  - $\mathbf{q}_i^{\alpha+1} = \mathbf{q}_i^\alpha + \mathbf{v}_i^\alpha \Delta t + \mathbf{f}_i^\alpha \Delta t^2/2m_i$
  - $\mathbf{f}_i^{\alpha+1} = -\partial\mathcal{V}/\partial\mathbf{q}_i(\mathbf{q}^{\alpha+1})$
  - $\mathbf{a}_i^{\alpha+1} = \mathbf{f}_i^{\alpha+1}/m_i$
  - $\mathbf{v}_i^{\alpha+1} = \mathbf{v}_i^\alpha + \Delta t(\mathbf{a}_i^\alpha + \mathbf{a}_i^{\alpha+1})/2$



all atoms within the  
Verlet radius are considered

Some classical **thermodynamics** and the link to phase-space quantities:

$$U = \langle \mathcal{H} \rangle \quad (\text{note: for an isolated system } E = U)$$

$$\frac{\partial S}{\partial U} = \frac{1}{T} \quad \text{and} \quad T = \frac{1}{\partial S / \partial E}$$

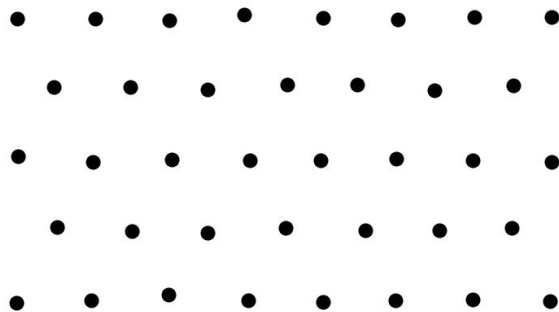
$$S(E) = k_B \ln V_R(E)$$

After a lot of math, one arrives at these relations:

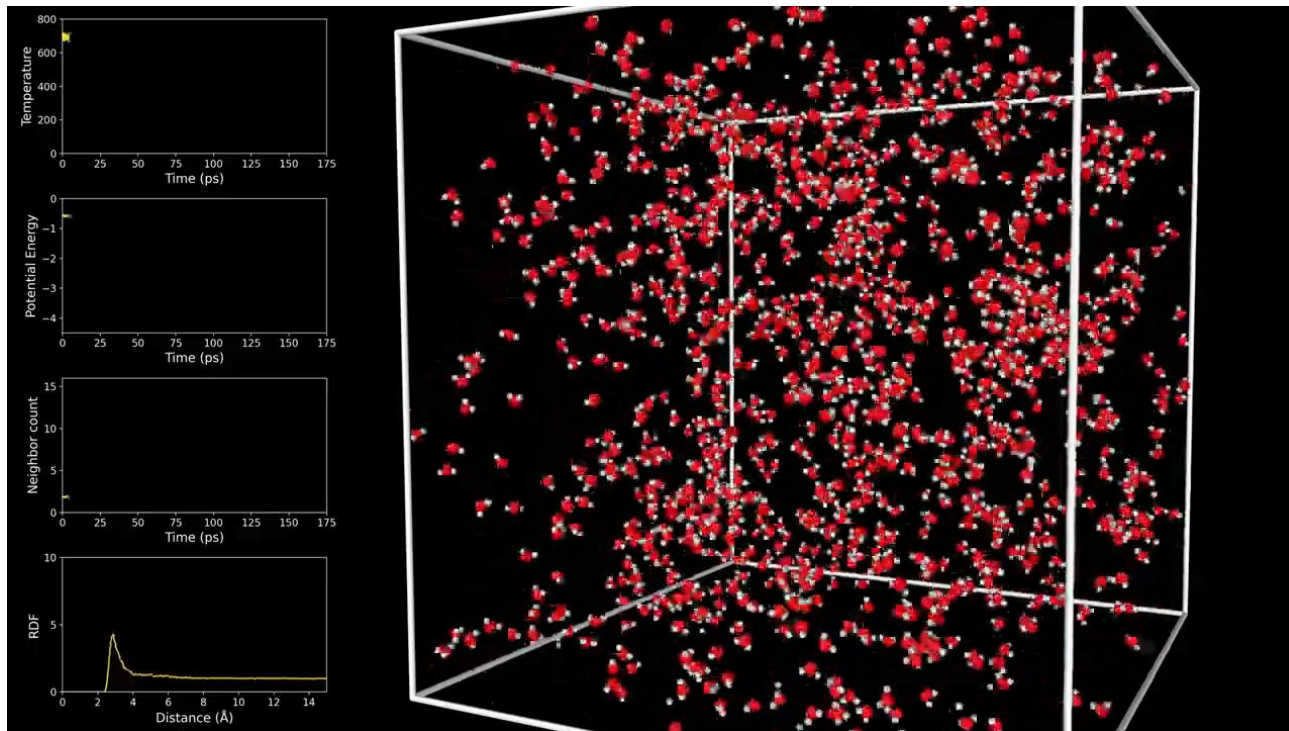
$$\left\langle \frac{p_i^2}{2m_i} \right\rangle = \frac{1}{2} k_B T \quad (\text{equipartition theorem})$$

$$T = \frac{2 \langle \mathcal{K}_{\text{vibr.}} \rangle}{3Nk_B}$$

$$\boldsymbol{\sigma} = -\frac{1}{|\Omega|} \sum_{a=1}^N \left\langle \frac{\mathbf{p}_a \otimes \mathbf{p}_a}{2m_a} + \mathbf{f}_a \otimes \mathbf{q}_a \right\rangle \quad (\text{virial stress tensor})$$



# Temperature as thermal vibrations



Recall the **definition of temperature**:

$$T(t^\alpha) = \frac{2}{3Nk_B} \sum_{i=1}^N \frac{m_i}{2} \|\delta \mathbf{v}_i^\alpha\|^2 \quad \text{with} \quad \delta \mathbf{v}_i^\alpha = \mathbf{v}_i(t^\alpha) - \mathbf{P}(t^\alpha)/m_i \quad \mathbf{P} = \sum_i m_i \mathbf{v}_i$$

Imposing an **initial temperature** onto an atomic ensemble:

1. assign random velocities to all atoms

2.  $\mathbf{v}_i^0 \leftarrow \mathbf{v}_i^0 - \frac{\mathbf{P}^0}{M}$  with  $M = \sum_{i=1}^N m_i$  and calculate  $T^0$

3.  $\mathbf{v}_i^0 \leftarrow \sqrt{\frac{T_{\text{ini}}}{T^0}} \mathbf{v}_i^0$

Verify:

$$\frac{2}{3Nk_B} \sum_{i=1}^N \frac{m_i}{2} \|\delta \mathbf{v}_i^0\|^2 = \frac{2}{3Nk_B} \sum_{i=1}^N \frac{m_i}{2} \frac{T_{\text{ini}}}{T^0} \|\mathbf{v}_i^0\|^2 = \frac{T_{\text{ini}}}{T^0} \frac{2}{3Nk_B} \sum_{i=1}^N \frac{m_i}{2} \|\mathbf{v}_i^0\|^2 = T_{\text{ini}}$$

The **thermostat** modifies the equations of motion to maintain a constant temperature:

**Langevin thermostat:**

$$m_i \ddot{\mathbf{q}}_i = \mathbf{f}_i - \gamma_i m_i \dot{\mathbf{q}}_i + \mathbf{g}_i(t)$$

↑  
damping

random, time-varying force  
(Brownian motion)

**Nosé-Hover thermostat:**

$$m_i \ddot{\mathbf{q}}_i = \mathbf{f}_i - \gamma m_i \dot{\mathbf{q}}_i,$$

non-constant drag aims to drive the system to the enforced temperature

$$\dot{\gamma} = \frac{1}{M} \left( \sum_{i=1}^N \frac{\|\mathbf{p}_i\|^2}{m_i} - 3Nk_B T \right)$$

**That's what I prepared for you today.**

**What would you like to discuss?**

# Reading for next class:

Multiscale Modeling, D. M. Kochmann

Chapters 17, 18